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(54) Title: CATALYST

(57) Abstract: A solid catalyst for asymmetric hydrogenation reactions is disclosed comprising a chiral cationic metal-ligand complex immobilised on a mesoporous aluminosilicate support. The catalyst is formed by ion exchange with the acid sites of the support. The catalyst is reusable, and maintains its activity after use.

Catalyst

The present invention concerns catalysts, especially catalysts which are useful for asymmetric reactions to produce chiral products. More specifically, the invention concerns immobilised chiral catalysts and processes that utilise such catalysts.

5

Asymmetric catalysis is increasingly important for the preparation of chiral products that are used in speciality applications such as in the manufacture of pharmaceuticals.

Suitable catalysts for asymmetric reactions are well known in the art and include compounds containing chiral ligands such as DUPHOS™. Homogeneous catalysts are 10 generally more advanced than their heterogeneous counterparts in this field, but there is considerable interest in the identification of heterogeneous asymmetric catalysts. The use of heterogeneous catalysts has several process advantages in facilitating product recovery, catalyst separation and reuse of the catalysts, which tend to be relatively expensive. In practice, however, the heterogenisation of homogenous catalysts has often 15 led to a loss in catalytic activity and selectivity.

Three approaches in the design of heterogeneous catalysts may be considered. The first of these is the use of chiral support for an achiral metal catalyst. Secondly, the 20 modification of an achiral heterogeneous catalyst using a chiral cofactor, for example nickel metal modified with tartaric acid and sodium bromide, which can be applied to the asymmetric hydrogenation of  $\beta$ -ketoesters and  $\beta$ -diketones; or platinum modified with a cinchona alkaloid which is useful for the enantioselective hydrogenation of  $\alpha$ -keto esters and acids (see Blaser et al, *Catalysis Today* 37 (1997) 441 – 463). The third approach 25 involves the immobilisation of a homogeneous chiral catalyst. The most common method in the prior art has been to attach a ligand or metal-ligand complex to a solid support material as described for example by Brandts et al in *18<sup>th</sup> Conference on Catalysis of Organic Reactions Preprints Poster #4 (30 April – 4<sup>th</sup> May 2000)*. The reference describes tethering both chiral and non-chiral rhodium complexes to  $\gamma$ -alumina using an 30 anchoring agent based on phosphotungstic acid, phosphomolybdc acid or silicotungstic acid.

F. de Rege et al (*18<sup>th</sup> Conference on Catalysis of Organic Reactions Preprints Poster #4 (30 April – 4<sup>th</sup> May 2000)*; *J. C. S. Chem. Comm.*, 2000, 1797 and *Chem. Ind.* 2001, 82, 439-450) describe an immobilised chiral rhodium complex, [(R,R)-Me-(DuPHOS)-35 Rh(COD)][OTf] on silica-MCM-41. The complexes are anchored to the support by hydrogen bonding between the triflate anion of the complex and Si-OH groups on the silica surface. The resulting immobilised catalyst may be recovered from a reaction mixture and reused provided that a non-polar reaction solvent is used to avoid leaching of the active species.

Johnson et al (*Chem. Commun.* 1999, 1167) describe confining a chiral catalyst within the walls of a silica-MCM-41 by first deactivating the surface sites, then treating the internal walls with 3-bromopropyltrichlorosilane, reaction of the treated support with a precursor of the chiral catalyst, followed by further treatment to produce the final catalyst. The catalyst is thereby covalently linked to the chemically-modified silica support.

Hölderich in DE 19820411 describes hydrogenation catalysts prepared by mixing Al-MCM-41 with either (S,S)-MeDuPHOS and  $[\text{Rh}(\text{cod})\text{Cl}_2]_2$  or (R,R)-DIOP and  $[\text{Rh}(\text{acac})(\text{CO})_2]$ . In both cases the catalysts require long reaction times (at least 24 hours) and provide poor enantioselectivities compared to the homogeneous equivalents.

In order to overcome problems with the prior art systems, it is desirable to anchor a pre-formed homogeneous catalyst to a support, without the need for any ligand modification, or alternatively to anchor a suitable metal precursor to the support and then build the complex on the support by addition of a ligand. EP-A-0831086 describes the application of this technique to the enantioselective aziridination of alkenes using a  $\text{Cu}^{2+}$  - exchanged zeolite Y modified with bis(oxazolines). A similar approach has also been applied to the epoxidation of alkenes using a Manganese-exchanged Al-MCM-41 modified with chiral salen ligand (see Piaggio et al, *J.Chem Soc. Perkin Trans 2*, 2000, 143).

We have now found that cationic metal-ligand complexes may be immobilised using mesoporous alumino silicates and used to advantage in the asymmetric hydrogenation of prochiral alkenes.

According to the invention we provide a solid catalyst for asymmetric hydrogenation reactions comprising a chiral cationic metal-ligand complex immobilised on a mesoporous alumino-silicate support.

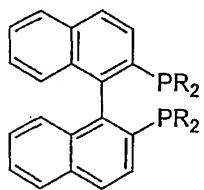
The chiral cationic metal-ligand complex may be any of those commonly used in the art of asymmetric hydrogenation in homogeneous form. Particularly suitable complexes comprises a cationic metal ion and a neutral mono- or bidentate ligand, which may be represented by the formula  $[\text{M}(\text{L})_n]^+$ , in which;

M is a metal ion which may be selected from  $\text{Rh}^{1+}$ ,  $\text{Ir}^{1+}$  or  $\text{Ru}^{2+}$ ,

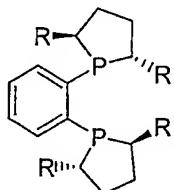
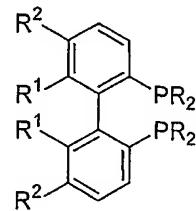
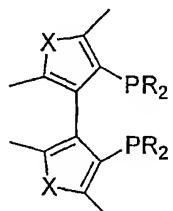
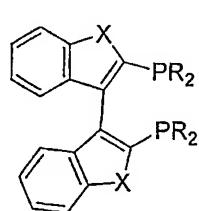
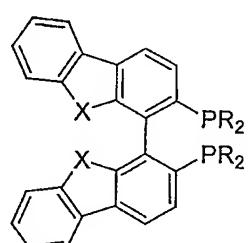
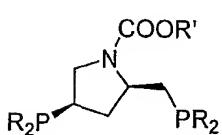
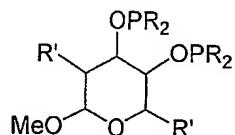
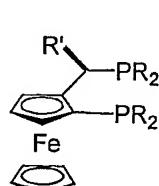
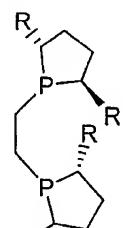
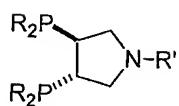
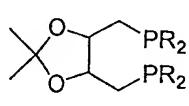
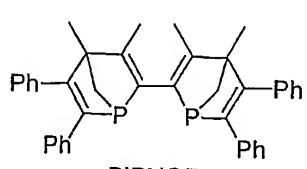
L is a neutral mono- or bidentate ligand and n is 1 or 2.

In addition to the neutral mono- or bidentate ligand the complex may further comprise at least one further stabilising ligand such as a diene, alkene, carbonyl or aryl group. 1,5-cyclooctadiene (cod) is the most preferred stabilising ligand for these systems.

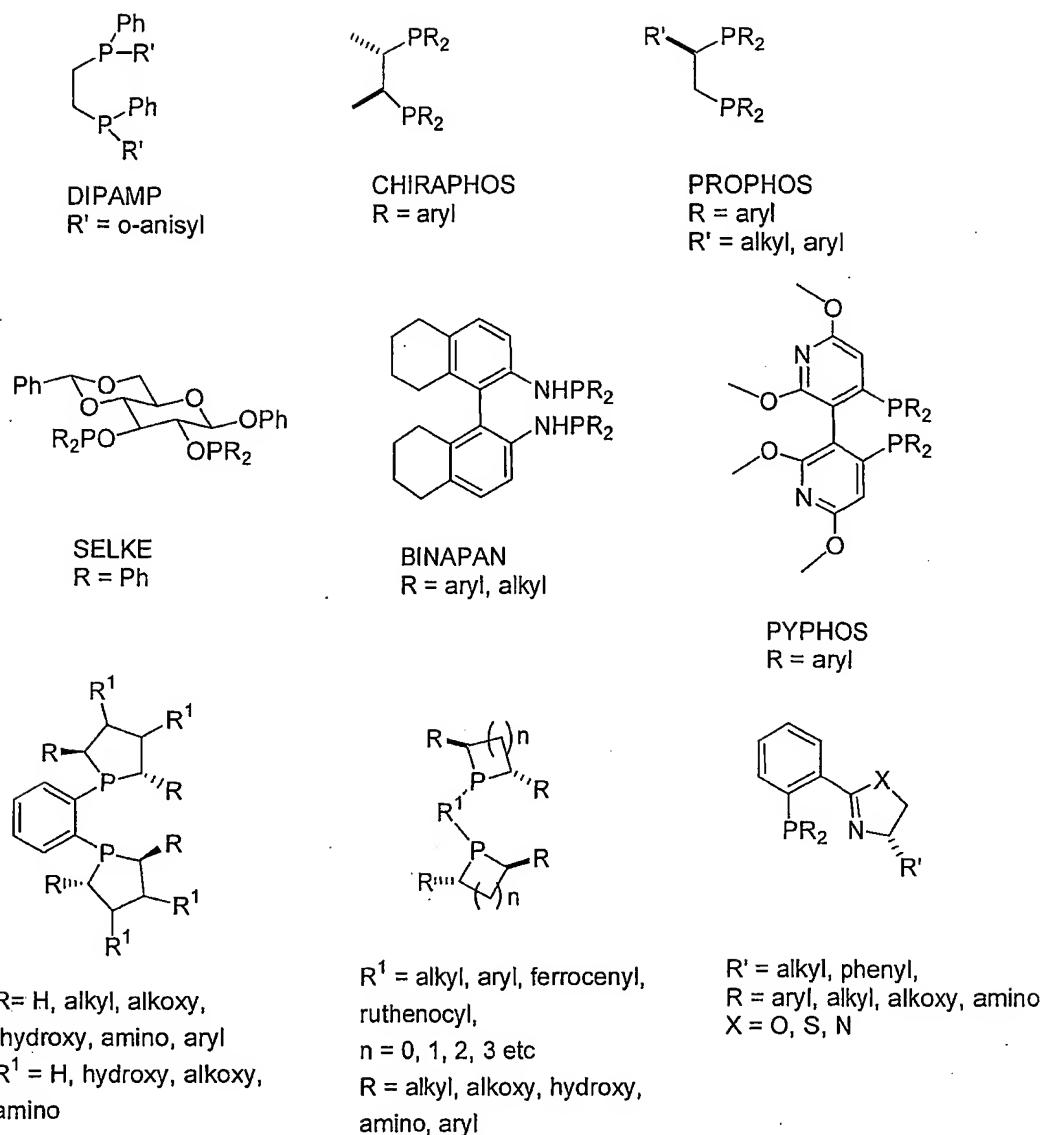
The neutral mono- or bidentate ligands are selected from those containing P, N, O or S-  
donor atoms. Preferably, the ligands are bidentate and provide two donor atoms. Such  
ligands may be abbreviated to P-P, P-N, N-N, O-N and the like. Preferably the neutral  
5 ligands contain P donor atoms and most preferably the ligands are bidentate and chiral.  
Examples of suitable bidentate ligands are as follows:



BINAP, R = aryl and alkyl

DUPHOS  
R= alkyl, alkoxy,  
hydroxy, amino, arylBIPHEP  
R= aryl and alkyl  
R1= alkyl, alkoxy  
R2= H, alkyl, alkoxyTMBTP  
R= aryl, alkyl  
X = O, S, NBITIANAP  
R= aryl, alkyl  
X = O, S, NBIBFUP  
R = aryl, alkyl  
X = O, S, Nbppm  
R = Aryl, Alkyl  
R' = AlkylCARBOPHOS  
R = aryl  
R' = CH2C(O)PhJOSIPHOS  
R = alkyl, aryl  
R' = alkyl, arylBPE  
R = alkylDEGPHOS  
R = aryl  
R' = H, BenzylDIOP  
R = aryl

BIPNOR



Preferred chiral cationic metal-ligand complexes are rhodium(I) complexes of (R)-BINAP, (R)-PROPHOS, (R,R)-MeDuPHOS and (R,S)-JOSIPHOS and a particularly preferred complexes comprises  $[(R,R)\text{-MeDuPHOS}\text{-Rh(I)}(1,5\text{-cyclooctadiene})]^+$  and  $[(R,S)\text{-JOSIPHOS}\text{-Rh(I)}(1,5\text{-cyclooctadiene})]^+$ .

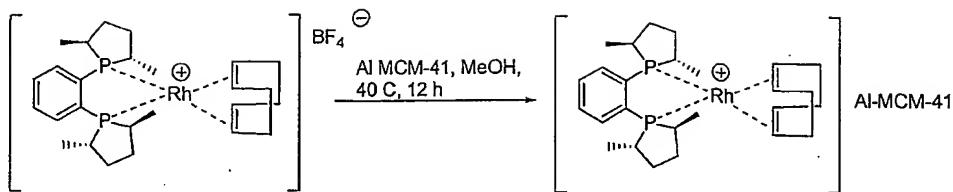
The invention further provides a method of forming a solid catalyst comprising a chiral cationic metal-ligand complex immobilised on a mesoporous alumino-silicate support, comprising the steps of;

10 a) forming a solution of a metal-ligand complex  $[M(L)_n]^+[X^-]$  where X is Cl,  $BF_4^-$ ,  $OTf^-$ , or another suitable counter-ion in a polar solvent,

5 b) stirring together said solution with a solid support comprising a mesoporous aluminosilicate,  
c) filtering the resulting solid from the supernatant liquor, and  
d) washing the catalyst with solvent,  
and a method of forming a solid catalyst comprising a chiral cationic metal-ligand complex immobilised on a mesoporous aluminosilicate support, comprising the steps of;  
10 a) forming a solution of a cationic metal precursor in a polar solvent,  
b) stirring together said solution with a solid support comprising a mesoporous aluminosilicate,  
c) filtering the resulting solid from the supernatant liquor,  
d) stirring together said solid with a solution of a neutral ligand in a solvent, and  
e) filtering the resulting solid from the supernatant liquor.  
15 The immobilised catalysts are formed by ion-exchange between a cationic metal-ligand complex and the acidic protons of the mesoporous aluminosilicate. The mesoporous aluminosilicate support is preferably a mesoporous silicate material having acidic sites which are suitable for ion exchange [(H<sup>+</sup>)-aluminosilicate]. The presence of the aluminium provides acidic sites for ion exchange with the cationic metal-ligand complex. The Al content of the aluminosilicate is preferably selected to give a ratio of Si to Al of at least 4 and is preferably in the range 5 – 500 : 1 by weight. Preferred supports include SBA-15 and Al-MCM-41. SBA-15 is a hexagonal mesoporous silica with uniform pore size up to about 300 angstroms and is described by Zhao et al in *Science*, 1998 (279), 548 – 552 and *J. Am. Chem. Soc.* 1998, 120, 6024 – 6036. Al-MCM-41 is well known in the art and refers to an aluminosilicate known as the Mobil Composition of Matter described, for example, in WO-91/11390.

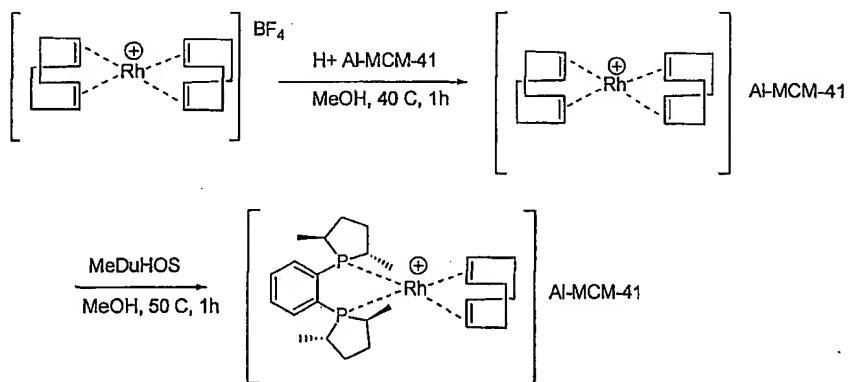
30 The ion exchange of the metal complex may be achieved in at least two different ways. In one method, a solution of a metal-ligand complex  $[M(L)_n]^+[X^-]$  (where X is Cl,  $BF_4^-$ , OTf, or another suitable counter-ion) is stirred with the solid ( $H^+$ )-alumino-silicate with heating if required, followed by filtration and washing of the exchanged supported catalyst. The solvent is preferably a polar solvent, e.g. an alcoholic solvent such as methanol, ethanol or isopropanol. We have found that the use of a less-polar, non-protic solvent, e.g. dichloromethane, may result in incomplete exchange of the metal complex onto the support.  
35

This preparation method may be illustrated by the example of the direct immobilisation of  $[\text{Rh}(\text{R},\text{R}-\text{Me}-\text{DuPHOS})(\text{cod})]\text{[BF}_4^-]$ , where cod is 1,5-cyclooctadiene, on Al-MCM-41 as follows:-



In a second method the supported catalyst is formed by first anchoring a cationic metal precursor onto the support by exchange with acid protons on the support and then adding the ligand to form the immobilised metal-ligand complex of the invention. A preferred

5 example of a suitable cationic metal precursor is  $[\text{Rh}(\text{cod})_2]\text{BF}_4$ . The first step is simply achieved by stirring a solution of the cationic metal precursor (again preferably in a polar protic solvent such as methanol) with the support. The resulting immobilised cationic metal precursor may if desired be isolated before being treated with the ligand in a suitable solvent, e.g. methanol, acetone, tetrahydrofuran or dichloromethane to form the  
10 immobilised metal-ligand complex of the invention. An example of this method for the preparation of a  $[\text{Rh}(\text{R},\text{R})\text{-Me-DuPHOS}(\text{cod})]\text{Al-MCM}$  supported catalyst is illustrated below.



This latter method may be preferable where the chiral ligand has a bulky structure that may  
15 reduce the ability of the cationic metal-ligand complex to enter the mesopores of the aluminosilicate support.

The invention further provides a process for performing a hydrogenation reaction comprising contacting a solution of the compound to be hydrogenated with hydrogen at  
20 elevated pressure in the presence of a solid catalyst comprising a chiral cationic metal-ligand complex immobilised upon a mesoporous aluminosilicate.

The solid catalysts of the present invention may be used for hydrogenation reactions. Preferred hydrogenation reactions are the hydrogenation of prochiral alkenes, chiral  
25 alkenes, ketones, imines and ketimines containing carbon-carbon double bonds and in

particular the hydrogenation of succinate, itaconate, methacrylate and acrylate esters,  $\beta$ -ketoesters, enol-acetates and enamides.

The reaction conditions for the hydrogenation reactions may be those well known to those skilled in the art but typically may be at temperatures in the range  $-10^{\circ}\text{C}$  –  $100^{\circ}\text{C}$  and preferably  $0$  –  $60^{\circ}\text{C}$ , and at elevated hydrogen pressures in the range of 1 to 100 bar and preferably 3 to 30 bar. Pure hydrogen or hydrogen diluted with an inert gas may be used for the reactions. The amount of catalyst added to the reactions will depend upon the reaction conditions as well as the reactivity of the substrate (i.e. compound to be hydrogenated). Typically substrate : catalyst (metal) molar ratios of 100 – 5000:1 may be used in the present invention.

The invention is further illustrated by the following examples.

15 Example 1: The Preparation Of MCM-41 Type Mesoporous Aluminosilicates.  
Al-MCM-41 type (Si:Al = 10:1)  
A mixture consisting of tetramethylammonium hydroxide (101.21 g), cetyltrimethylammonium bromide (118.45 g), aluminium isopropoxide (26.56 g) and de-ionised water (860 ml) was stirred at  $35^{\circ}\text{C}$  for one hour. After this time fumed silica (78.0 g) was added and the resultant mixture was allowed to stir at room temperature for one hour. The gel was then transferred to an autoclave, purged with nitrogen gas (202.6 kPa) and allowed to heat to  $150^{\circ}\text{C}$  at  $3^{\circ}\text{C}$  per minute with slow stirring. The autoclave remained at the elevated temperature for a total of 48 hours. The contents of the autoclave were then cooled to ambient temperature (ca  $20^{\circ}\text{C}$ ), filtered and washed with de-ionised water (1 litre) and ethanol (500 ml). The white solid was then oven-dried overnight ( $110^{\circ}\text{C}$  for 16 hours) before being calcined under nitrogen at  $550^{\circ}\text{C}$  for 16 hours (ramp rate =  $3^{\circ}\text{C}$  per minute). After this, the solid was calcined for four hours in static air at  $550^{\circ}\text{C}$ .

30 Example 2: The Preparation Of SBA-15 Type Mesoporous Aluminosilicates (Si:Al 8:1).  
Tetraethylorthosilicate (27 g) was mixed with aluminium isopropoxide (3.9 g) and aqueous hydrochloric acid (30 ml at pH = 1.5). This solution was stirred for three hours and then added to a second solution containing 12 g *poly(ethylene glycol)-poly(propylene glycol)-poly(ethylene glycol)* tri-block co-polymer with an average molecular weight of 5800 in aqueous hydrochloric acid (450 ml at pH = 1.5). The resultant mixture was stirred for one hour, charged to an autoclave and heated under nitrogen (202.6 kPa) with stirring to  $100^{\circ}\text{C}$  at  $3^{\circ}\text{C}$  per minute. The autoclave was held at this elevated spectrum for a total of 64 hours. The solid obtained was filtered, dried at  $100^{\circ}\text{C}$  overnight and calcined by heating in static air to  $550^{\circ}\text{C}$  at  $25^{\circ}\text{C}$  per hour and holding at the elevated temperature for 4 hours.

Example 3: Preparation of [Rh-(R,R-MeDuPHOS)(cod)]Al-MCM-41 by direct ion-exchange.

A mixture of the solid support ( $H^+$ ) Al-MCM-41, made in Example 1 (0.2 g) and [Rh-(R,R-MeDuPHOS)(cod)][BF<sub>4</sub>] (0.020 g) in degassed methanol (5 ml) was heated at 55 °C for 1

5 hour during which time the solution became colourless and the solid took on a orange colour. The mixture was filtered and the yellow-orange solid washed with methanol (2 x 5 ml) and dried under vacuum. The yellow solid was stored under nitrogen.

Example 4A: Preparation of [Rh(cod)<sub>2</sub>]Al-MCM-41.

10 A mixture of the solid support ( $H^+$ ) Al-MCM-41 (Si:Al 10:1) (0.2 g) and [Rh(cod)<sub>2</sub>][BF<sub>4</sub>] (0.020 g, 0.05 mmol) in degassed methanol (5 ml) was stirred at 50 °C overnight under a nitrogen atmosphere. The solid material took on a pale orange colour. The following day the liquid was decanted and a further portion of methanol added. The mixture was filtered and the solid dried under vacuum.

15

Example 4B: Preparation of [Rh-(R,R-MeDuPHOS)(cod)]Al-MCM-41.

A mixture of [Rh(cod)<sub>2</sub>]Al-MCM-41 (0.176 mg) as prepared in Example 4A and (R,R)-MeDUPHOS (16 mg, 0.05 mmol) in degassed methanol (5 ml) was stirred at 50°C for 1.5 hours. The solid material changed from a pale orange solid to a yellow colour, whilst 20 the methanol solution also became a pale yellow colour. The mixture was cooled to room temperature (ca 20°C) and then filtered. The yellow solid was then washed thoroughly with methanol and dried under vacuum.

Example 5: Hydrogenation of dimethyl itaconate.

25 Dimethyl itaconate (about 1 mmol) and catalyst were weighed into a glass-liner that was placed inside a 50ml autoclave to give a substrate:catalyst (Rh) molar ratio of 1000:1. The autoclave was sealed and flushed with nitrogen. The autoclave was then pressurised with hydrogen to 80 psi (506.6 kPa) and then released (cycle repeated 5 times). Sufficient methanol was added to the autoclave to give an approximately 1M solution of substrate and 30 the 5 cycles of pressurising-releasing with hydrogen were repeated. Finally the autoclave was pressurised with H<sub>2</sub> to 80 psi (506.6 kPa), sealed and left to stir. After the desired time the stirring was stopped and the H<sub>2</sub> released slowly. The autoclave was flushed with nitrogen and the liquid phase removed by syringe through a valve (SWAGELOK™) opening. The products were analysed by chiral gas chromatography using a LIPODEX-E™ column.

35 The conversion after 1 hour and the enantiomeric excess (ee) are shown in Table 1 for different [Rh(Ligand)(cod)]Al-MCM-41 (cod = 1,5-cyclooctadiene) complexes immobilised using the method of Example 3.

Table 1

Ligand	Conversion (%)	ee (%)
(R)-BINAP	82	43
(R)-PROPHOS	34	31
(R,R)MeDUPHOS	99	98

Example 6: Re-use of supported catalyst.

The hydrogenation procedure of Example 5 was repeated using the catalyst [Rh(R,R-Me-DuPHOS)(cod)]Al-MCM-41 immobilised according to the method of Example 3 at a substrate : catalyst (Rh) molar ratio of 250:1. After 1 hour (unless otherwise stated) the solid was allowed to settle and the liquid phase was removed by syringe under a positive flow of nitrogen. A fresh aliquot of substrate in methanol (at the same substrate : catalyst ratio) was then added to the autoclave which was re-pressurised with H<sub>2</sub>. Conversion and enantiomeric excess (ee) were determined as after each run. The results are shown in Table 2.

Table 2

Run	Conversion (%)	ee (%)
1	> 99	> 99
2	> 99	> 99
3	> 99	> 99
4	> 99	> 99
5	99	98
6	98	96
7	95	95
8	99	95
9 (overnight)	93	94

The results show that the solid supported catalyst may be successfully reused many times whilst maintaining its catalytic activity.

Example 7: Comparison with homogeneous catalyst.

The hydrogenation of dimethyl itaconate was performed using the general procedure of Example 5 in methanol (1 M) at 20°C with a substrate : catalyst (Rh) molar ratio of 5000:1 and at 506.6 kPa H<sub>2</sub> using the immobilised catalyst [Rh(R,R-MeDuPHOS)(cod)]Al-MCM-41 (cod = 1,5-cyclooctadiene), prepared according to Examples 4A/4B (i.e. via the cationic precursor complex [Rh(cod)<sub>2</sub>][BF<sub>4</sub>]). After the time shown in Table 3, the solid was allowed to settle and the liquid phase was removed by syringe under a positive flow of nitrogen. A fresh aliquot of substrate (at the same substrate : catalyst ratio) in methanol was then

added to the autoclave, which was re-pressurised with H<sub>2</sub>. Conversion and enantiomeric excess (ee) were determined as before after each run.

As a comparison, the reaction was performed in methanol (1 M) at 20°C, with a substrate : catalyst ratio of 5000:1 and at 506.6 kPa H<sub>2</sub> using the unsupported homogeneous catalyst [Rh(R,R-MeDuPHOS)(cod)][BF<sub>4</sub>] following the general procedure of Example 5. The results are shown in Table 3.

Table 3

Run	Time (h)	Conversion (%)	ee (%)
1	1	> 99	96
2	2.5	98	97
3	12	97.5	94
Homogeneous catalyst (comparison)	1	> 99	94

10 The results demonstrate that even at high substrate:catalyst ratios, the supported catalyst produces results which are at least comparable to the corresponding homogeneous metal-ligand catalyst complex.

Example 8: Hydrogenation of dimethyl itaconate using SBA-type supported ligands.

15 Two [Rh-(R,R)-MeDuPHOS(cod)]SBA-15 catalysts were prepared using the general methods described in Examples 3 and 4A/4B, using the (H<sup>+</sup>)-SBA-15 prepared in Example 2, and either [Rh-(R,R)-MeDuPHOS(cod)][BF<sub>4</sub>] or [Rh(cod)<sub>2</sub>][BF<sub>4</sub>] and (R,R)-MeDuPHOS respectively. The catalysts were tested in the hydrogenation of dimethyl itaconate according to the general method of Example 5 (with a substrate : catalyst (Rh) molar ratio = 1000:1). Conversion of the substrate was complete after 15 minutes giving a product having in each case, an enantiomeric excess of 98%.

Example 9: Hydrogenation of methyl-2-acetamidoacrylate using SBA-type supported ligands.

25 A [Rh-(R,R)-MeDuPHOS(cod)]SBA-15 catalyst prepared according to the method of Example 3 was used for the hydrogenation of methyl-2-acetamidoacrylate (MAA) according to the general method of example 5 (using about 1 mmol MAA and a substrate : catalyst (Rh) molar ratio = 1000:1) and then re-used several times at the same substrate:catalyst ratio according to the basic method as used in Example 6. The reaction scheme and results are shown below and in Table 4.

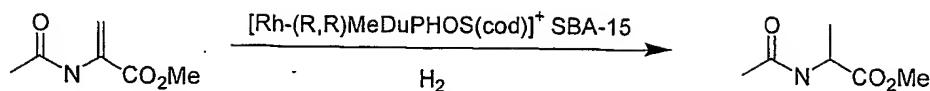


Table 4

Run	Time to full conversion	ee (%)
1	10	95
2	10	99
3	10	97
4	15	97
5	20	92
6	30	80
7	45	79

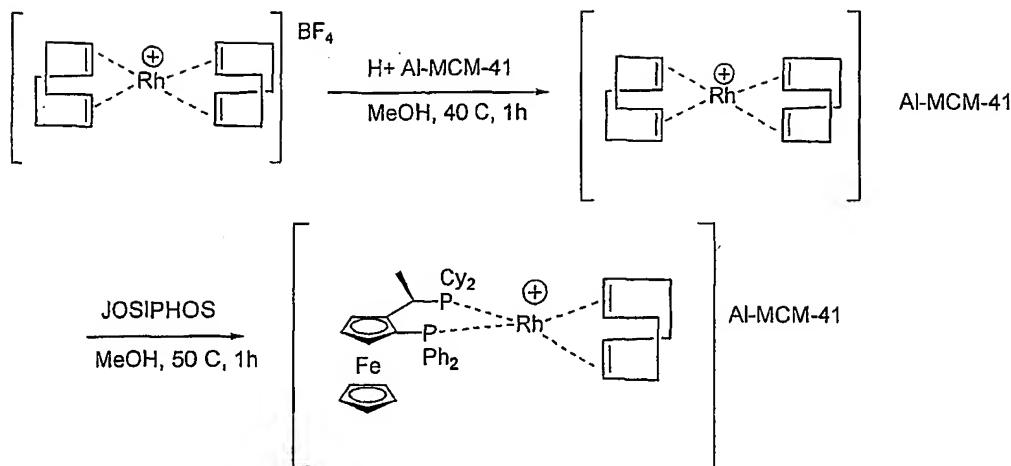
5 The results show that the catalysts can be isolated and re-used many times whilst maintaining high activity.

Example 10A: Preparation of  $[\text{Rh}(\text{cod})_2]\text{Al}\text{-MCM-41}$  having a higher Si:Al ratio.

10 A mixture of a lower Al-containing solid support  $\text{H}^+ \text{Al}\text{-MCM-41}$  (Si:Al = 73:1) (0.73 g) and  $[\text{Rh}(\text{cod})_2]\text{[BF}_4\text{]}$  (0.010 g, 0.024 mmol) in degassed methanol (10 ml) was stirred at 40 °C for 1 hour under a nitrogen atmosphere. The solid material took on a pale orange colour. The liquid was decanted and a further portion of methanol added. The mixture was filtered and the solid dried under vacuum.

15 Example 10B: Preparation of  $[\text{Rh-(R,S-JOSIPHOS)}(\text{cod})]\text{Al}\text{-MCM-41}$ .

The  $[\text{Rh}(\text{cod})_2]\text{Al}\text{-MCM-41}$  (0.7 g) as prepared in Example 10A and (R,S)-JOSIPHOS (15.3 mg, 0.024 mmol) in degassed methanol (10 ml) was stirred at 50°C for 1 hour. The solid material changed from a pale orange solid to a yellow colour, whilst the methanol solution also became a pale yellow colour. The mixture was cooled to RT and then filtered. 20 The yellow solid was then washed thoroughly with methanol and dried under vacuum. The preparation of the catalyst is depicted below.



Example 11: Hydrogenation reaction using [Rh-(R,S-JOSIPHOS)(cod)]Al-MCM-41.

Dimethyl itaconate was hydrogenated following the general method described in Example 5 using a substrate : catalyst (Rh) molar ratio of 500:1, but allowing only 15 minutes reaction

5 time. The catalyst was allowed to settle and the supernatant containing the product removed by syringe. The catalyst was then re-used (at the same substrate : catalyst ratio) according to the method of Example 6. The results are given in Table 5.

Table 5

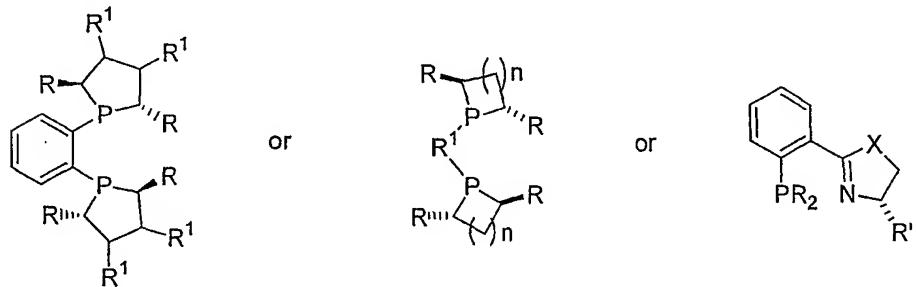
Run	Conversion (%)	ee (%)
1	99	94
2	99	92
3	99	92
4	99	92
5	99	91
6	98	91
7	98	91
8	99	92
9	98	91
10	98	90

10

The results show that the JOSIPHOS catalyst may be successfully immobilised on a mesoporous support having a higher Si:Al ratio and that the resulting catalyst is active and selective, and may be recycled without significant reduction in activity or selectivity.

Claims

1. A solid catalyst for asymmetric hydrogenation reactions comprising a chiral cationic metal-ligand complex immobilised on a mesoporous alumino-silicate support.
2. A catalyst as claimed in claim 1 wherein the cationic metal-ligand complex is represented by the formula  $[M(L)_n]^+$ , in which;  
M is a metal ion which may be selected from  $Rh^{1+}$ ,  $Ir^{1+}$  or  $Ru^{2+}$ ,  
L is a neutral mono- or bidentate ligand and n is 1 or 2.
3. A catalyst according to claim 1 or claim 2 wherein the catalyst further comprises at least one further stabilising ligand such as a diene, alkene, carbonyl or aryl group.
4. A catalyst as claimed in claim 2 or claim 3 wherein the neutral ligand is selected from the group consisting of BINAP, DuPHOS, BIPHEP, TMBTP, BITIANAP, BIBFUP, bppm, CARBOPHOS, JOSIPHOS, BPE, DEGPHOS, DIOP, BIPNOR, DIPAMP, CHIRAPHOS, PROPHOS, PYPHOS, BINAPAN, SELKE or



R= H, alkyl, alkoxy,  
hydroxy, amino, aryl

R<sup>1</sup> = H, hydroxy, alkoxy,  
amino

R<sup>1</sup> = alkyl, aryl, ferrocenyl,  
ruthenocyl,  
n = 0, 1, 2, 3 etc  
R = alkyl, alkoxy, hydroxy,  
amino, aryl

R' = alkyl, phenyl,  
R = aryl, alkyl, alkoxy, amino  
X = O, S, N

5. A catalyst according to any one of claims 1 to 5 wherein the cationic metal-ligand complex is  $[(R,R)-MeDuPHOS-Rh(1,5-cyclooctadiene)]^+$  or  $[(R,S)-JOSIPHOS]Rh(I)(1,5-cyclooctadiene)]^+$ .
6. A catalyst according to any one of claims 1 to 5 wherein the support is a mesoporous silicate material having acidic sites suitable for ion exchange provided by aluminium and having a Si:Al ratio in the range 4 – 500 : 1 by weight.

7. A catalyst according to any one of claims 1 to 6 wherein the support is SBA-15 or Al-MCM-41
8. A method of forming a solid catalyst comprising a chiral cationic metal-ligand complex immobilised on a mesoporous alumino-silicate support, comprising the steps of:
  - a) forming a solution of a metal-ligand complex  $[M(L)_n]^+[X]^-$  where X is Cl,  $BF_4^-$ , OTf, or another counter-ion in a polar solvent,
  - b) stirring together said solution with a solid support comprising a mesoporous aluminosilicate,
  - c) filtering the resulting solid from the supernatant liquor, and
  - d) washing the catalyst with solvent.
9. A method of forming a solid catalyst comprising a chiral cationic metal-ligand complex immobilised on a mesoporous alumino-silicate support, comprising the steps of:
  - a) forming a solution of a cationic metal precursor in a polar solvent
  - b) stirring together said solution with a solid support comprising a mesoporous aluminosilicate
  - c) filtering the resulting solid from the supernatant liquor
  - d) stirring together said solid with a solution of a neutral ligand in a solvent, and
  - e) filtering the resulting solid from the supernatant liquor.
10. A method according to claim 9 wherein the cationic metal precursor is  $[Rh(cod)_2]BF_4^-$ .
11. A method according to any one of claims 8 to 10 wherein the polar solvent is methanol.
12. A process for performing a hydrogenation reaction comprising contacting a solution of the compound to be hydrogenated with hydrogen at elevated pressure in the presence of a solid catalyst comprising a chiral cationic metal-ligand complex immobilised upon a mesoporous alumino-silicate.
13. A process according to claim 12 wherein the compound to be hydrogenated is selected from the list comprising a prochiral alkene, chiral alkene, ketone, imine or ketimine.
14. A process according to claim 12 or claim 13, further comprising the step of separating the solid catalyst from the reaction mixture, and using it in a subsequent hydrogenation reaction.

(19) World Intellectual Property Organization  
International Bureau(43) International Publication Date  
10 May 2002 (10.05.2002)(10) International Publication Number  
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(71) Applicant (for all designated States except US): IMPERIAL CHEMICAL INDUSTRIES PLC [GB/GB]; 20 Manchester Square, London W1U 3AN (GB).

Published:  
— with international search report

(72) Inventors; and

(88) Date of publication of the international search report:  
31 October 2002

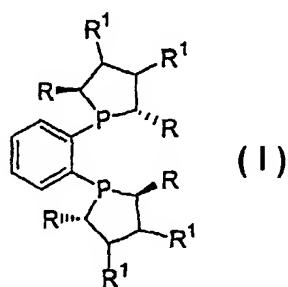
(75) Inventors/Applicants (for US only): HEMS, William, Patrick [GB/GB]; Flat 4, 36 Stanhope Road South, Darlington, County Durham DL3 5TQ (GB). HUTCHINGS, Graham, John [GB/GB]; 17 North End, Osmotherley, North Yorkshire DL6 3BA (GB).

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

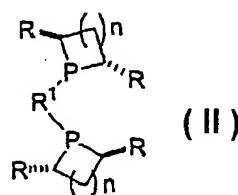
(74) Agents: GIBSON, Sara, Hillary, Margaret et al.; Synetix Intellectual Property Dept., P.O. Box 1, Belasis Avenue, Billingham, Cleveland TS23 1LB (GB).

(54) Title: CATALYST COMPRISING A CHIRAL CATIONIC METAL-LIGAND COMPLEX IMMOBILISED ON A MESOPOROUS ALUMINO-SILICATE SUPPORT, ITS PREPARATION AND USE IN ASYMMETRIC HYDROGENATION

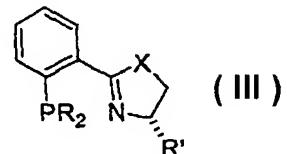
WO 02/036261 A3



(I)



(II)



(III)

(57) Abstract: A solid catalyst for asymmetric hydrogenation reactions is disclosed comprising a chiral cationic metal-ligand complex immobilised on a mesoporous alumino-silicate support. The catalyst is formed by ion exchange with the acid sites of the support. The catalyst is reusable, and maintains its activity after use. The metal is preferably Rh, Ir or Ru. The ligands are preferably neutral ligands selected from the group consisting of BINAP, DuPHOS, BIPHEP, TMBTP, BITIANAP, BIBFUP, bppm, CARBOPHOS, JOSIPHOS, BPE, DEGPHOS, DIOP, BIPNOR, DIPAMP, CHIRAPHOS, PROPHOS, PYPHOS, BINAPAN, SELKE or formula (I), formula (II), formula (III).

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/GB 01/04842

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7	B01J31/16	B01J29/04	B01J35/10	B01J31/24	B01J37/30
	C07B53/00	C07C5/03	C07C29/143	C07C67/303	C07C209/52

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 B01J C07B C07C

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

CHEM ABS Data, EPO-Internal

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	DE 198 20 411 A (HOELDERICH WOLFGANG F) 27 January 2000 (2000-01-27) cited in the application the whole document	1-8, 11-14
Y	page 2, line 53 -page 6, line 12 re. ligands with two phosphorous centers (1. group of inventions)	1-14
X	WO 98 28074 A (SETON HALL UNIVERSITY) 2 July 1998 (1998-07-02) page 1, line 10-24 figure 1 examples VI,VII,IX-XI,XIV tables 1-3 claims 11-13,18-20,29,32-43	1-6,8-14
Y	claims 29,33-38 re. ligands with two phosphorous centers (1. group of inventions)	7,9,10
		-/-

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

## \* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the International filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the International filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

11 June 2002

Date of mailing of the international search report

01.07.02

Name and mailing address of the ISA

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Authorized officer

Goebel, M

## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/GB 01/04842

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	AUGUSTINE R ET AL: "A NEW TECHNIQUE FOR ANCHORING HOMOGENEOUS CATALYSTS" CHEMICAL COMMUNICATIONS, ROYAL SOCIETY OF CHEMISTRY, GB, 1999, pages 1257-1258, XP000944789 ISSN: 1359-7345 the whole document	1-6,8, 11-14
Y	re. ligands with two phosphorous centers (1. group of inventions) ---	7,9,10
Y	SAYARI A: "CATALYSIS BY CRYSTALLINE MESOPOROUS MOLECULAR SIEVES" CHEMISTRY OF MATERIALS, AMERICAN CHEMICAL SOCIETY, WASHINGTON, US, vol. 8, no. 8, 1 August 1996 (1996-08-01), pages 1840-1852, XP000626890 ISSN: 0897-4756 page 1842, right col., para. 3 page 1844, left col., last para page 1850, left col., para. 3 & right col. para. 3 re. ligands with two phosphorous centers (1. group of inventions) ---	7
Y	DATABASE CA 'Online' CHEMICAL ABSTRACTS SERVICE, COLUMBUS, OHIO, US; CHIN, CHONG SHIK ET AL: "Synthesis, reactions and catalytic activities of iridium complexes intercalated into montmorillonite" retrieved from STN Database accession no. 118:265295 XP002193278 abstract & J. CHEM. SOC., DALTON TRANS. ( 1993 ), (4), 581-6 ,  re. ligands with two phosphorous centers (1. group of inventions) ---	1-6,8, 11-14
		-/-

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/GB 01/04842

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	<p>DATABASE CA 'Online! CHEMICAL ABSTRACTS SERVICE, COLUMBUS, OHIO, US; PINNAVAIA, THOMAS J. ET AL: "Intercalation of catalytically active metal complexes in mica-type silicates. Rhodium hydrogenation catalysts" retrieved from STN Database accession no. 92:41021 XP002193279 abstract &amp; J. AM. CHEM. SOC. ( 1979 ), 101(23), 6891-7 , re. ligands with two phosphorous centers (1. group of inventions)</p>	1-6, 8, 11-14
X	<p>CLARK, JAMES H. ET AL.: "Catalysis of liquid phase organic reactions using chemically modified mesoporous inorganic solids" CHEMICAL COMMUNICATIONS, no. 8, 1998, pages 853-860, XP002193275 GB page 859, left col., para. 4, sent. 3 re. ligands with two phosphorous centers (1. group of inventions)</p>	1-3, 6, 12-14
X	<p>LINDNER E ET AL: "SYNTHESIS AND CHARACTERIZATION OF POLY(ALUMOSILOXANE)-BOUND (ETHER-PHOSPHINE)RUTHENIUM(II) COMPLEXES" INORGANIC CHEMISTRY, AMERICAN CHEMICAL SOCIETY. EASTON, US, vol. 36, no. 5, 1997, pages 862-866, XP000997796 ISSN: 0020-1669 scheme 1 abstract re. ligands with two phosphorous centers (1. group of inventions)</p>	1-3, 6, 12-14
X	<p>US 5 252 751 A (MUELLER MANFRED ET AL) 12 October 1993 (1993-10-12) column 4, line 1-19; examples re. ligands with two phosphorous centers (1. group of inventions)</p>	1-3, 12-14
X	<p>US 5 244 857 A (MUELLER MANFRED ET AL) 14 September 1993 (1993-09-14) column 4, line 4-23; claims; examples re. ligands with two phosphorous centers (1. group of inventions)</p>	1-3, 12-14
-/-		

## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/GB 01/04842

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	NOZAKI, K. ET AL.: "Hydroesterification of styrene catalyzed by Montmorillonite-Diphenylphosphinepalladium (II) chloride in the presence of chiral phosphines" JOURNAL OF MOLECULAR CATALYSIS A: CHEMICAL, vol. 118, no. 2, 1997, pages 247-253, XP002193276 page 248 -page 250; tables 1,5	1,3,4,6
A	re. ligands with two phosphorous centers (1. group of inventions) ---	12-14
A	DE REGE, FRANCIS M. ET AL.: , "Non-covalent immobilization of homogenous cationic chiral rhodium-phosphine catalysts on silica surfaces" CHEMICAL COMMUNICATIONS, no. 18, 12 September 2000 (2000-09-12), pages 1797-1798, XP002193277 GB cited in the application the whole document re. ligands with two phosphorous centers (1. group of inventions) ---	1-8, 11-14
X	FACHE, F. ET AL.: "Nitrogen-Containing Ligands for Asymmetric Homogenous and Heterogenous Catalysis" CHEMICAL REVIEWS, vol. 100, no. 6, 16 May 2000 (2000-05-16), pages 2159-2231, XP002201872 page 2161 page 2164 page 2162; figure 2 page 2169; figure 28 page 2172; figure 43 page 2184; figures 90,91 page 2186; figures 101,102 page 2202; figure 154 page 2205; figure 161	1-4,6, 12,13
Y	re. iminophosphine ligands (2. group of inventions) ---	7-11,14
		-/-

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/GB 01/04842

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	<p>LANGER T ET AL: "Highly Efficient New Catalysts for Enantioselective Transfer Hydrogenation of Ketones"  TETRAHEDRON LETTERS, ELSEVIER SCIENCE PUBLISHERS, AMSTERDAM, NL,  vol. 37, no. 9,  26 February 1996 (1996-02-26), pages 1381-1384, XP004030049  ISSN: 0040-4039  the whole document  re. iminophosphine ligands (2. group of inventions)</p> <p>---</p>	1-4,6-14
A	<p>ANSON M S ET AL: "Palladium Catalysed Heck and Enantioselective Allylic Substitution Reactions Using Reverse Phase Silica Supports"  TETRAHEDRON LETTERS, ELSEVIER SCIENCE PUBLISHERS, AMSTERDAM, NL,  vol. 40, no. 39,  24 September 1999 (1999-09-24), pages 7147-7150, XP004177220  ISSN: 0040-4039  the whole document  re. iminophosphine ligands (2. group of inventions)</p> <p>---</p>	1-4,6-14

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/GB 01/04842

### Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
  
2.  Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:  
see FURTHER INFORMATION sheet PCT/ISA/210
  
3.  Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

### Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1.  As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
  
2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
  
3.  As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
  
4.  No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

#### Remark on Protest

The additional search fees were accompanied by the applicant's protest.

No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.2

Present claims 1-3, 6-9, 11-14 relate to an extremely large number of possible compounds (to be used). In fact, the claims contain so many ligand, metal, immobilization and support options that a lack of clarity within the meaning of Article 6 PCT arises to such an extent as to render a meaningful search of the claims impossible. Consequently, the search has been carried out for those parts of the application which do appear to be clear, namely those solid catalysts comprising neutral bidentate P-P or P-N ligands as recited in the description at pages 3, line 6 to page 4, line 5.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-14 (part)

insofar as concerning catalysts (ia) comprising bidentate ligands with two phosphorous centers as constituents of the immobilised cationic metal-ligand complex; their preparation; their use in asymmetric hydrogenations with hydrogen under elevated pressure.

1.1. Claims: 1-11 (part)

insofar as concerning catalysts (ia) and their preparation

1.2. Claims: 12-14 (part)

insofar as concerning a process for asymmetric hydrogenations with catalysts (ia)

2. Claims: 1-14 (part)

insofar as concerning catalysts (ib) comprising bidentate ligands with an iminophosphine structure (cf. claim 4, last structure) as constituents of the immobilised cationic metal-ligand complex; their preparation; their use in asymmetric hydrogenations with hydrogen under elevated pressure.

Please note that all inventions mentioned under item 1, although not necessarily linked by a common inventive concept, could be searched without effort justifying an additional fee.

## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/GB 01/04842

Patent document cited in search report		Publication date		Patent family member(s)		Publication date
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